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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Applicants : Constantine Tsikos et al.  
Serial No. : 10/059,051  
Filed : February 28, 2002  
Title of Invention : PLANAR LASER ILLUMINATION MODULES (PLIMS)  
EMPLOYED IN A PLANAR LASER ILLUMINATION AND  
IMAGING (PLIIM) SYSTEM  
Examiner : not yet assigned  
Group Art Unit : 2876  
Attorney Docket : 108-087USANA0

Honorable Commissioner of Patents  
and Trademarks  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION/FILING DATE GRANTED**

Sir:

In response to the Notice To File Missing Parts Of Application/Filing Date Granted, mailed in the present Application on March 29, 2002, Applicants submit the following documents for filing in the same:

- Copy of Combined Declaration Of Inventorship And Power Of Attorney for prior Application No. 09/721,885;
- Substitute Specification, Claims to Invention and Abstract of Disclosure;
- Declaration Under 1.125;
- Request for Approval of Proposed Changes to the Drawings;
- Fourteen (14) sheets of Redmarked Drawings;
- Submission of Formal Drawings;
- One Hundred Thirty Seven (137) sheets of Formal Drawings;
- Petition for Extension of Time;
- Part 2 of Notice Of Missing Parts Of Application/Filing Date Granted; and
- Return Receipt Postcard.

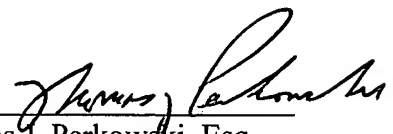
Enclosed please find Thomas J. Perkowski, Esq., P.C. Check No. 2920 in the amount of \$980.00 in payment of the requisite filing and extension of time fees. The Commissioner is hereby authorized to charge any fee deficiencies to Deposit Account 16-1340. A copy of this document is enclosed herewith.

Please address all correspondence to the undersigned attorney at the following address:

Thomas J. Perkowski, Esq., P.C.  
Soundview Plaza  
1266 East Main Street  
Stamford, Connecticut 06902

Respectfully submitted,

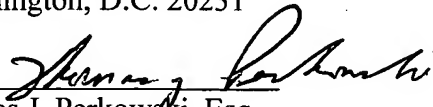
Dated: July 1, 2002

  
Thomas J. Perkowski, Esq.  
Reg. No. 33,134  
Attorney for Applicants  
Thomas J. Perkowski, Esq., P.C.  
Soundview Plaza  
1266 East Main Street  
Stamford, Connecticut 06902  
203-357-1950  
<http://www.tjpatlaw.com>

CERTIFICATE OF FIRST CLASS MAIL  
UNDER 37 CFR 1.08

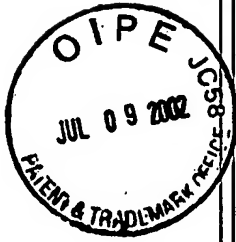
I hereby certify that this correspondence  
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Washington, D.C. 20231

  
Thomas J. Perkowski, Esq.  
Dated: July 1, 2002

206020-15065001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In Re Application of:

Applicants : Constantine Tsikos, Michael D. Schnee Xiaoxun Zhu,  
Thomas Amundsen, Charles A. Naylor, Russel Joseph Dobbs  
and C. Harry Knowles  
Serial No. : 10/059,051  
Filed : February 28, 2002  
Title of Invention : PLANAR LASER ILLUMINATION MODULES (PLIMS)  
EMPLOYED IN A PLANAR LASER ILLUMINATION AND  
IMAGING (PLIIM) SYSTEM  
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Washington, DC 20231

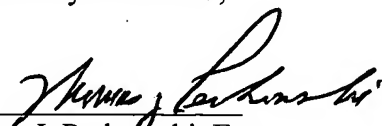
**SUBMISSION OF FORMAL DRAWINGS**

Sir:

Transmitted herewith please find One Hundred Thirty Seven (137) sheets of Formal Drawings to be filed in the above referenced Application. These Formal Drawings contain the changes set forth in the Request for Approval of Proposed Changes to the Drawings filed herewith.

Respectfully submitted,

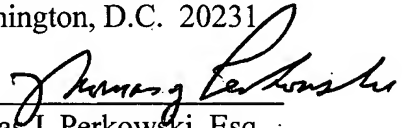
Dated: July 1, 2002

  
Thomas J. Perkowski, Esq.  
Reg. No. 33, 134  
Attorney for Applicants  
Thomas J. Perkowski, Esq., PC  
Soundview Plaza  
1266 East Main Street  
Stamford, Connecticut 06902  
203-357-1950  
<http://www.tjpatlaw.com>

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Washington, D.C. 20231

  
\_\_\_\_\_  
Thomas J. Perkowski, Esq.

Reg. No. 33,134

Date: July 1, 2002

#5



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/059,051	01/28/2002	Constantine Tsikos	108-087USANA0

Thomas J. Perkowski, Esq., P.C.  
Soundview Plaza  
1266 East Main Street  
Stamford, CT 06902



CONFIRMATION NO. 7850

## FORMALITIES LETTER



\*OC000000007751214\*

Date Mailed: 03/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/15/2002 BABRAHA1 00000030 10059051

FILED UNDER 37 CFR 1.53(b)

01 EG:101  
02 EG:105

740.00 OP  
130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

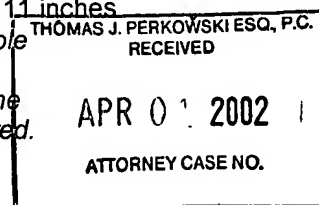
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)

- 1-99 do not comply with the size requirements (8 ½ by 11 inches or 21.0 by 29.7 cm). The drawings filed are unacceptable because:

Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.



- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

206020-1505001

#6



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Applicants : Constantine Tsikos, Michael D. Schnee Xiaoxun Zhu,  
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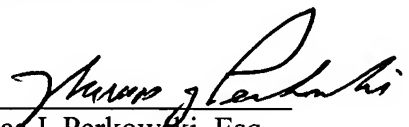
**REQUEST FOR APPROVAL OF PROPOSED CHANGES TO THE DRAWINGS**

Sir:

Applicants hereby request approval of the proposed changes to Figs. 1F, 1I2, 1O, 1Q2, 3J1, 3J3, 4B3, 4C1, 4C2, 5B3, 5C3, 6B3, 6C3 and 6E3, which are indicated in red ink on the sheets filed herewith. Applicants will file Formal Drawings, which include the proposed changes, under a separate cover.

Respectfully submitted,

Dated: July 1, 2002

  
Thomas J. Perkowski, Esq.  
Reg. No. 33,134  
Attorney for Applicants  
Thomas J. Perkowski, Esq., P.C.  
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Thomas J. Perkowski, Esq.

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Date: July 1, 2002